

**“Annexure - A”
Form No. MGT-9 -EXTRACT OF ANNUAL RETURN**

As on the financial year ended on March 31, 2021
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i	CIN:	L74140MH1991PLC061715
ii	Registration Date:	16/05/1991
iii	Name of the Company:	Anupam Finserv Limited
iv	Category / Sub-Category of the Company:	Company limited by shares / Indian Non Government Company
v	Address of the registered office and contact details:	502, Corporate Arena, D.P. Piramal Road, Goregaon (W), Mumbai – 400104. Tel No: - (022) 67830000. Email Id: info@anupamfinserv.com Website: www.anupamfinserv.com
vi	Whether listed company:	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent:	Purva Share Registry (India) Pvt. Ltd. Unit No. 9, Shiv Shakti Ind Estate, J R Boricha Marg, Opp Kasturba Hospital Lane, Lower Parel (E), Mumbai – 400011. Tel No:- (022) 23012518

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Other financial service activities - Other credit granting	64920	100%

II. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: NA

S N	Name And Address Of The Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	-	-	-	-	-

III. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)
i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of Change during the year
	Demat	Physical	Total	% of Total Share	Demat	Physical	Total	% of Total Share	
A. Promoters									
(1) Indian									
(g) Individuals/ HUF	1,597,511	0	1,597,511	15.20	1,647,361	0	1,647,361	15.67	0.47
(h) Central Govt	0	0	0	0.00	0	0	0	0.00	0.00
(i) State Govt(s)	0	0	0	0.00	0	0	0	0.00	0.00
(j) Bodies Corp.	19,850	0	19,850	0.19	0	0	0	0.00	- 0.19
(k) Banks / FI	0	0	0	0.00	0.00	0	0	0.00	0.00
(l) Any Other (Persons Acting in Concert)	788,815	0	788,815	7.50	758,815	0	758,815	7.22	- 0.28
Sub Total (A)(1):-	2,406,176	0	2,406,176	22.89	2,406,176	0	2,406,176	22.89	0.00
(2) Foreign									

(a) NRI Individuals	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Other Individuals									
(c) Bodies Corp.	0	0	0	0.00	0.00	0	0	0.00	0.00
(d) Banks / FI	0	0	0	0.00	0.00	0	0	0.00	0.00
(e) Any Other....									
Sub Total (A)(2):-	0	0	0	0.00	0.00	0	0	0.00	0.00
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	2,406,176	0	2,406,176	22.89	2,406,176	0	2,406,176	22.89	0.00
B. Public Shareholding									
(1) Institutions									
(a) Mutual Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Banks FI	2200	0	2200	0.02	2200	0	2200	0.02	0.00
(c) Central Govt	0	0	0	0.00	0.00	0	0	0.00	0.00
(d) State Govet (s)	0	0	0	0.00	0.00	0	0	0.00	0.00
(e) Venture Capital Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(f) Insurance Companies	0	0	0	0.00	0.00	0	0	0.00	0.00
(g) FIs	0	0	0	0.00	0.00	0	0	0.00	0.00
(h) Foreign Venture Capital Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(i) Others (specify)									
* U.T.I.	0	0	0	0.00	0.00	0	0	0.00	0.00
* Financial Institutions	0	0	0	0.00	0.00	0	0	0.00	0.00
* Government Companies	0	0	0	0.00	0.00	0	0	0.00	0.00
* State Financial Corporation	0	0	0	0.00	0.00	0	0	0.00	0.00
* Qualified Foreign Investor	0	0	0	0.00	0.00	0	0	0.00	0.00
* Any Other	0	0	0	0.00	0.00	0	0	0.00	0.00
* Otc Dealers (Bodies Corporate)	0	0	0	0.00	0.00	0	0	0.00	0.00
* Private Sector Banks	0	0	0	0.00	0.00	0	0	0.00	0.00
Sub-total (B)(1):-	2200	0	2200	0.02	2200	0	2200	0.02	0.00
(2) Non-Institutions									
(a) Bodies Corp.									
(i) Indian	50,335	200	50,335	0.48	54,473	200	54,673	0.52	0.04
(ii) Overseas	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Individuals									
(i) Individual shareholders holding nominal share capital uptoRs. 1 lakh	456,122	81,110	537,232	5.11	1,136,705	80,110	1,216,815	11.57	6.47
(ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	7,225,065	133,699	7,358,764	70.99	6,338,666	132,699	6,471,365	61.56	- 8.43
(c) Others (specify)									
* N.R.I. (Non-Repat)	500	0	500	0.00	30,084	0	30,084	0.29	0.28
* N.R.I. (Repat)	0	0	0	0.00	0	0	0	0.00	0.00
* Foreign Corporate Bodies	0	0	0	0.00	0.00	0	0	0.00	0.00
* Trust	0	0	0	0.00	0.00	0	0	0.00	0.00
* Hindu Undivided Family	156,834	0	156,834	1.49	154,627	0	154,627	1.47	- 0.02
* Employee	0	0	0	0.00	0.00	0	0	0.00	0.00

* Clearing Members	1,259	0	1,259	0.01	176,560	0	176,560	1.68	1.67
* Depository Receipts	0	0	0	0.00	0.00	0	0	0.00	0.00
* Other Directors & Relatives	0	0	0	0.00	0.00	0	0	0.00	0.00
Sub-total (B)(2):-	7,890,115	215,009	8,105,124	77.09	7,891,115	213,009	8,104,124	77.09	0.00
Total Public Shareholding (B) = (B)(1)+(B)(2)	7,892,315	215,009	8,107,324	77.11	7,893,315	213,009	8,106,324	77.11	0.00
C. TOTSHR held by Custodian for GDRs & ADRs	0	0	0	0.00	0.00	0	0	0.00	0.00
Grand Total (A + B + C)	10,298,491	215,009	10,513,500	100.00	10,299,491	213,009	10,512,500	100.00	0.00

(ii) Shareholding of Promoters and Promoters Group

S N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			Change
		No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	
1.	Pravin Gala	1,597,511	15.20	0.00	1,647,361	15.67	0.00	0.47
2.	Nirmala Gala	153,036	1.46	0.00	228,036	2.17	0.00	0.71
3.	Anupam Stock Broking Pvt Ltd	19,850	0.19	0.00	0	0.00	0.00	-0.19
4.	Nanji Gala	30,000	0.29	0.00	0	0.00	0.00	-0.29
5.	Siddharth Gala	525,040	4.99	0.00	525,040	4.99	0.00	0.00
6.	Meena Chheda	13,700	0.13	0.00	13,700	0.13	0.00	0.00
7.	Dhrumil Gala	67,039	0.64	0.00	67,039	0.64	0.00	0.00

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

S N		Shareholding at the beginning of the year		Cumulative Shareholding during the year		Type
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in shareholding during the year	
1	Pravin Gala	1,597,511	15.20			
	21-09-2020	19,850	0.19			Off market Transfer from PAC-Anupam Stock Broking Pvt Ltd
	24-10-2020	30,000	0.29			Off market gift from Nanji Gala
	At the end of the year			1,647,361	15.67	
2	Nirmala Gala	153,036	1.46			
	At the end of the year			153,036	1.46	
3	Siddharth Gala	525,040	4.99			
	At the end of the year			525,040	4.99	
4	Nanji Gala	30,000	0.29			
	23-10-2021	-30,000	-0.29			Off market gift to Pravin Gala
	At the end of the year			0	-0.29	
5	Anupam Stock Broking Pvt Ltd	19,850	0.19			
	21-09-2020	-19,850	-0.19			Off Market Transfer to Pravin Gala
	At the end of the year			0	-0.19	
6	Meena Chheda	13,700	0.13			
	At the end of the year			13,700	0.13	
7	Dhrumil Gala	67,039	0.64			
	At the end of the year			67,039	0.64	

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

S N		Share Holding at the beginning of the year		Cumulative Share Holding during the year		
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in share holding during the year	
1	Kishor Dhirajlal Shah	750,000	7.13			
	10-07-2020	-87,472	-0.83	662,528	6.30	Sell
	24-07-2020	-25,000	-0.24	637,528	6.06	Sell
	25-09-2020	-637,528	-6.06	0	0	Sell
	At the end of the year				0	
2	Rekha Kishor Shah	600,000	5.71		4.93	Sell
	31-07-2020	-81,435	-0.77	518,565	4.36	Sell
	07-08-2020	-60,000	-0.57	458,565	3.93	Sell
	14-08-2020	-44,985	-0.43	413,580	2.36	Sell
	21-08-2020	-165,700	-1.58	247,880	1.14	Sell
	11-09-2020	-128,195	-1.22	119,685	0.55	Sell
	18-09-2020	-61,888	-0.59	57,797	6.61	Sell
	25-09-2020	-637,528	-6.06	695,325	6.52	Sell
	11-12-2020	-10,000	-0.10	685,325	6.19	Sell
	18-12-2020	-35,000	-0.33	650,325	6.04	Sell
	25-12-2020	-15,000	-0.14	635,325	0	Sell
	26-03-2021	-635,325	-6.04			Sell
	At the end of the year			600,000	5.71	
3	Manilal Bhuralal Gala	600,000	5.71			
	At the end of the year			600,000	5.71	
4	Pankaj Hirji Dedhia	500,000	4.76			
	At the end of the year			500,000	4.76	
5	Vinod Manilal Gala	500,000	4.76			
	At the end of the year			500,000	4.76	
6	Hitesh Malshi Rita	500,000	4.76			
	31-07-2020	-9882	-0.09	490,118	4.66	Sell
	07-08-2020	-5000	-0.05	485,118	4.61	Sell
	14-08-2020	-25,000	-0.24	460,118	4.38	Sell
	21-08-2020	-94,092	-0.90	366,026	3.48	Sell
	28-08-2020	-50,671	-0.48	315,355	3.00	Sell
	11-09-2020	-6000	-0.06	309,355	2.94	Sell
	At the end of the year			309,355	2.94	
7	Jayesh Malshi Rita	500,000	4.76			
	At the end of the year			500,000	4.76	
8	Mansukh Valji Boricha	500,000	4.76			
	At the end of the year			500,000	4.76	
9	Shantaben Valji Boricha	500,000	4.76			
	At the end of the year			500,000	4.76	
10	Valji Gunshi Shah	500,000	4.76			
	At the end of the year			500,000	4.76	
11	Premji Dhanji Shah	352,656	3.35			
	03-07-2020	-36,000	-0.34	316,656	3.01	Sell
	18-09-2020	20,000	0.19	336,656	3.20	Buy
	At the end of the year			336,656	3.20	
12	Dinesh K Savla	172,567	1.64			
	03-07-2020	-60,000	-0.57	112,567	1.07	Sell
	26-03-2021	409,125	3.89	521,692	4.96	Buy
	At the end of the year			521,692	4.96	

(v) Shareholding of Directors and Key Managerial Personnel

For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
Pravin Gala	1,597,511	15.20	16,47,361	15.67
Nirmala Gala	153,036	1.46	153,036	1.46
Sidhaarth Gala	525,040	4.99	525,040	4.99
Darshan Jajal	1600	0.01	1600	0.01

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i. Principal Amount	0	65,700,000	0	65,700,000
ii. Interest due but not paid	0	2,604,228	0	2,604,228
iii. Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	0	68,304,228	0	68,304,228
Change in Indebtedness during the financial year				
i. Addition	0	0	0	0
ii. Reduction	0	0	0	0
Net Change	0	0	0	0
Indebtedness at the end of the financial year				
i. Principal Amount	0	64,700,000	0	65,700,000
ii. Interest due but not paid	0	2,688,257	0	2,604,228
iii. Interest accrued but not due	0	0	0	0
Total (I + ii + iii)	0	67,388,257	0	68,304,228

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.N	Particulars of Remuneration	Name of D/MD/WTD/Manager	Total Amount
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission - as % of profit - Others, specify...	Nil	Nil
5	Others, please specify	Nil	Nil
	Total (A)	Nil	Nil
	Ceiling as per the Act	Nil	Nil

B. Remuneration to other directors:

S N	Particulars of Remuneration	Name of Independent Directors			Total Amount
1.	Independent Directors • Fee for attending board / committee meetings • Commission • Others, please specify	Darshan Jajal 14,000	Dhirubhai Desai 10,500	Rajendra Shah 10,500	35,000
	Total (1)	14,000	10,500	10,500	35,000
2.	Other Non-Executive Directors • Fee for attending board / committee meetings • Commission • Others, please specify	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	14,000	10,500	10,500	35,000
	Total Managerial Remuneration	14,000	10,500	10,500	35,000
	Overall Ceiling as per the Act	NA	NA	NA	NA

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD

S N	Particulars of Remuneration	Key Managerial Personnel-Sheetal Dedhia-Company Secretary	Total
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	384,000	384,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2.	Stock Option	Nil	Nil
3.	Sweat Equity	Nil	Nil
4.	Commission as % of profit others, specify...	Nil	Nil
5.	Others, please specify	Nil	Nil
	Total (A)	384,000	384,000

II. Penalties / Punishment/ Compounding of offences:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
OTHER OFFICERS IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

By Order of the Board of Directors

For ANUPAM FINSERV LIMITED

SD/-
Nirmala Gala
Managing Director
DIN: 00894497

SD/-
Pravin Gala
Whole Time Director
DIN: 00786492

Mumbai, 29th June, 2021