

**"Annexure - A"**  
**Form No. MGT-9 -EXTRACT OF ANNUAL RETURN**

As on the financial year ended on March 31, 2019  
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

i	CIN:	L74140MH1991PLC061715
ii	Registration Date:	16/05/1991
iii	Name of the Company:	Anupam Finserv Limited
iv	Category / Sub-Category of the Company:	Company limited by shares / Indian Non Government Company
v	Address of the registered office and contact details:	502, Corporate Arena, D.P. Piramal Road, Goregaon (W), Mumbai - 400104. Tel No: - (022) 67830000. Email Id: info@anupamfinserv.com Website: www.anupamfinserv.com
vi	Whether listed company:	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent:	Purva Share Registry (India) Pvt. Ltd, Unit No. 9, Shiv Shakti Ind Estate, J R Mr. Boricha Marg, Opp Kasturba Hospital Lane, Lower Parel (E), Mumbai - 400011. Tel No:- (022) 23012518

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Other financial service activities - Other credit granting	64920	100%

**II. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: NA**

S N	Name And Address Of The Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	-	-	-	-	-

**III. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)**

**i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of Change during the year
	Demat	Physical	Total	% of Total Share	Demat	Physical	Total	% of Total Share	
<b>A. Promoters</b>									
(1) Indian									
(g) Individuals/ HUF	1,597,511	0	1,597,511	15.20	1,597,511	0	1,597,511	15.20	0.00
(h) Central Govt	0	0	0	0.00	0	0	0	0.00	0.00
(i) State Govt(s)	0	0	0	0.00	0	0	0	0.00	0.00
(j) Bodies Corp.	19,850	0	19,850	0.19	19,850	0	19,850	0.19	0.00
(k) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
(l) Any Other (Persons Acting in Concert)	298,861	0	298,861	2.84	786,386	0	786,386	7.48	4.64
<b>Sub Total (A)(1):-</b>	<b>1,916,222</b>	<b>0</b>	<b>1,916,222</b>	<b>18.23</b>	<b>2,403,747</b>	<b>0</b>	<b>2,403,747</b>	<b>22.87</b>	<b>4.64</b>
(2) Foreign									
(a) NRI Individuals	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Other Individuals									
(c) Bodies Corp.	0	0	0	0.00	0.00	0	0	0.00	0.00
(d) Banks / FI	0	0	0	0.00	0.00	0	0	0.00	0.00
(e) Any Other....									
<b>Sub Total (A)(2):-</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	<b>1,916,222</b>	<b>0</b>	<b>1,916,222</b>	<b>18.23</b>	<b>2,403,747</b>	<b>0</b>	<b>2,403,747</b>	<b>22.87</b>	<b>4.64</b>
<b>B. Public Shareholding</b>									
(1) Institutions									
(a) Mutual Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Banks FI	2200	0	2200	0.02	2200	0	2200	0.02	0.00
(c) Central Govt	0	0	0	0.00	0.00	0	0	0.00	0.00



(d) State Govt (s)	0	0	0	0.00	0.00	0	0	0.00	0.00
(e) Venture Capital Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(f) Insurance Companies	0	0	0	0.00	0.00	0	0	0.00	0.00
(g) Fils	0	0	0	0.00	0.00	0	0	0.00	0.00
(h) Foreign Venture Capital Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(i) Others (specify)									
* U.T.I.	0	0	0	0.00	0.00	0	0	0.00	0.00
* Financial Institutions	0	0	0	0.00	0.00	0	0	0.00	0.00
* I.D.B.I.	0	0	0	0.00	0.00	0	0	0.00	0.00
* I.C.I.C.I.	0	0	0	0.00	0.00	0	0	0.00	0.00
* Government Companies	0	0	0	0.00	0.00	0	0	0.00	0.00
* State Financial Corporation	0	0	0	0.00	0.00	0	0	0.00	0.00
* Qualified Foreign Investor	0	0	0	0.00	0.00	0	0	0.00	0.00
* Any Other	0	0	0	0.00	0.00	0	0	0.00	0.00
* Otc Dealers (Bodies Corporate)	0	0	0	0.00	0.00	0	0	0.00	0.00
* Private Sector Banks	0	0	0	0.00	0.00	0	0	0.00	0.00
<b>Sub-total (B)(1):-</b>	<b>2200</b>	<b>0</b>	<b>2200</b>	<b>0.02</b>	<b>2200</b>	<b>0</b>	<b>2200</b>	<b>0.02</b>	<b>0.00</b>
<b>(2) Non-Institutions</b>									
(a) Bodies Corp.									
(i) Indian	91,708	200	91,908	0.87	48,383	200	48,583	0.46	-0.41
(ii) Overseas	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Individuals									
(i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	386,661	78,310	464,971	4.42	368,569	77,810	446,379	4.25	-0.18
(ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	2,682,517	5,138,999	7,821,516	74.40	7,254,098	138,099	7,392,197	70.32	-4.08
(c) Others (specify)									
* N.R.I. (Non-Repatriation)	900	0	900	0.01	500	0	500	0.00	-0.00
* N.R.I. (Repatriation)	0	0	0	0.00	0	0	0	0.00	0.00
* Foreign Corporate Bodies	0	0	0	0.00	0.00	0	0	0.00	0.00
* Trust	0	0	0	0.00	0.00	0	0	0.00	0.00
* Hindu Undivided Family	212,857	0	212,857	2.02	207,482	0	207,482	1.97	-0.05
* Employee	0	0	0	0.00	0.00	0	0	0.00	0.00
* Clearing Members	1,926	0	1,926	0.02	11,412	0	11,412	0.11	0.09
* Depository Receipts	0	0	0	0.00	0.00	0	0	0.00	0.00
* Other Directors & Relatives	0	0	0	0.00	0.00	0	0	0.00	0.00
<b>Sub-total (B)(2):-</b>	<b>3,376,569</b>	<b>5,217,509</b>	<b>8,594,078</b>	<b>81.75</b>	<b>7,890,444</b>	<b>216,109</b>	<b>8,106,553</b>	<b>77.11</b>	<b>-4.64</b>
<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>3,378,769</b>	<b>5,217,509</b>	<b>8,596,278</b>	<b>81.77</b>	<b>7,892,644</b>	<b>216,109</b>	<b>8,108,753</b>	<b>77.13</b>	<b>-4.64</b>
<b>C. TOTSHR held by Custodian for GDRs &amp; ADRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand Total (A + B + C)</b>	<b>5,294,991</b>	<b>5,217,509</b>	<b>10,512,500</b>	<b>100.00</b>	<b>10,296,391</b>	<b>216,109</b>	<b>10,512,500</b>	<b>100.00</b>	<b>0.00</b>



## (ii) Shareholding of Promoters and Promoters Group

S N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	% change in share holding during the year
1.	Pravin Gala	1,597,511	15.20	0.00	1,597,511	15.20	0.00	0.00
2.	Nirmala Gala	153,036	1.46	0.00	153,036	1.46	0.00	0.00
3.	Anupam Stock Broking Pvt Ltd	19,850	0.19	0.00	19,850	0.19	0.00	0.00
4.	Hiruben Gala	16,016	0.15	0.00	0	0.00	0.00	-0.15
5.	Nanji Gala	34,569	0.32	0.00	34,569	0.32	0.00	0.00
6.	Siddharth Gala	81,540	0.77	0.00	525,040	4.99	0.00	4.22
7.	Meena Chheda	13,700	0.13	0.00	13,700	0.13	0.00	0.00
8.	Dhrumil Gala	0.00	0.00	0.00	64,610	0.61	0.00	0.61

## (iii) Change in Promoters' Shareholding (please specify, if there is no change)

SI No.	Shareholder's Name	Shareholding at the beginning of the year		Cumulative Shareholding during the year		Type
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in shareholding during the year	
1	Pravin Gala	1,597,511	15.20			
	At the end of the year			1,597,511	15.20	
2	Nirmala Gala	153,036	1.46			
	At the end of the year			153,036	1.46	
3	Siddharth Gala	81,450	0.78			
	28-09-2018	346,300	3.29	427,840	4.07	Buy
	26-10-2018	97,200	0.92	525,040	4.99	Buy
	At the end of the year			525,040	4.99	
4	Nanji Gala	34,569	0.33			
	11-05-2018	-4569	-0.04	30,000	0.29	Trf to Dhrumil
	At the end of the year			30,000	0.29	
5	Anupam Stock Broking Pvt Ltd	19,850	0.19			
	At the end of the year			19,850	0.19	
6	Hiruben Gala	16,016	0.15			
	11-05-2018	-16,016	-0.15			
	At the end of the year			0	0.00	Trf to Dhrumil
7	Meena Chheda	13,700	0.13			
	At the end of the year			13,700	0.13	
8	Dhrumil Gala	0	0.00			
	06-04-2018	1025	0.01	1025	0.01	Buy
	13-04-2018	3181	0.03	4186	0.04	Buy
	20-04-2018	2172	0.02	6358	0.06	Buy
	27-04-2018	24	0.00	6382	0.06	Buy
	04-05-2018	7500	0.07	13882	0.13	Buy
	11-05-2018	16016	0.15	29,898	0.28	Trf from Hiruben
	11-05-2018	4569	0.04	34,467	0.32	Trf from Nanji Gala
	11-05-2018	7142	0.07	41609	0.40	Buy
	18-05-2018	5430	0.05	47039	0.45	Buy
	25-05-2018	1514	0.01	48553	0.46	Buy
	08-06-2018	6500	0.06	55053	0.52	Buy
	15-06-2018	1153	0.01	56206	0.43	Buy
	22-06-2018	8404	0.08	64610	0.61	Buy
	At the end of the year			64610	0.61	

## (iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

S N	Shareholder's Name	Share Holding at the beginning of the year		Cumulative Share Holding during the year	
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in share holding during the year
1	Kishor Dhirajal Shah	750,000	7.13		
	At the end of the year			750,000	7.13
2	Rekha Kishor Shah	750,000	7.13		
	At the end of the year			750,000	7.13
3	Manilal Bhuralal Gala	600,000	5.71		
	At the end of the year			600,000	5.71
4	Pankaj Hirji Dedhia	500,000	4.76		
	At the end of the year			500,000	4.76
5	Vinod Manilal Gala	500,000	4.76		
	At the end of the year			500,000	4.76
6	Hitesh Malshi Rita	500,000	4.76		
	At the end of the year			500,000	4.76
7	Jayesh Malshi Rita	500,000	4.76		
	16-11-2018	1000	0.01		
	At the end of the year			500,000	4.76
8	Mansukh Valji Boricha	500,000	4.76		
	At the end of the year			500,000	4.76
9	Shantaben Valji Boricha	500,000	4.76		
	At the end of the year			500,000	4.76
10	Valji Gunshi Shah	500,000	4.76		
	At the end of the year			500,000	4.76



## (v) Shareholding of Directors and Key Managerial Personnel

For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
Pravin Gala	1,597,511	15.20	15,97,511	15.20
Nirmala Gala	153,036	1.46	153,036	1.46
Sidhaarth Gala	81,540	0.78	525,040	4.99
Darshan Jajal	1000	0.01	1000	0.00

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans*	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	0	80,700,000	0	80,700,000
ii) Interest due but not paid	0	20,10,340	0	20,10,340
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	<b>0</b>	<b>82,710,340</b>	<b>0</b>	<b>82,710,340</b>
<b>Change in Indebtedness during the financial year</b>				
i) Addition	5,886,393	0	0	5,886,393
ii) Reduction	0	7,500,000	0	7,500,000
<b>Net Change</b>	<b>5,886,393</b>	<b>(7,500,000)</b>	<b>0</b>	<b>(1,613,607)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	5,886,393	73,200,000	0	79,086,393
ii) Interest due but not paid	0	776,198	0	776,198
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	<b>5,886,393</b>	<b>73,976,198</b>	<b>0</b>	<b>79,862,591</b>

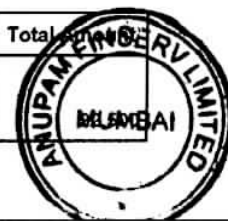
## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

## A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.N	Particulars of Remuneration	Name of D/MD/WTD/ Manager	Total Amount
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission - as % of profit - Others, specify...	Nil	Nil
5	Others, please specify	Nil	Nil
	<b>Total (A)</b>	Nil	Nil
	Ceiling as per the Act	Nil	Nil

## B. Remuneration to other directors:

S N	Particulars of Remuneration	Name of MD/WTD/ Manager			Total
1.	<b>Independent Directors</b>	DarshanJajal	Dhirubhai Desai	Rajendra Shah	
	- Fee for attending board / committee meetings	10,000	5,000	7,500	



	• Commission • Others, please specify				
	<b>Total (1)</b>	<b>10,000</b>	<b>5,000</b>	<b>7,500</b>	<b>22,500</b>
2.	<b>Other Non-Executive Directors</b> • Fee for attending board / committee meetings • Commission • Others, please specify	Nil	Nil	Nil	Nil
	<b>Total (2)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
	<b>Total (B)=(1+2)</b>	<b>10,000</b>	<b>5,000</b>	<b>7,500</b>	<b>22,500</b>
	<b>Total Managerial Remuneration</b>	<b>10,000</b>	<b>5,000</b>	<b>7,500</b>	<b>22,500</b>
	<b>Overall Ceiling as per the Act</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>

**C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD**

S N	Particulars of Remuneration	Key Managerial Personnel		Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil	Nil
2	Stock Option	Nil	Nil	Nil
3	Sweat Equity	Nil	Nil	Nil
4	Commission as % of profit others, specify...	Nil	Nil	Nil
5	Others, please specify	Nil	Nil	Nil
	<b>Total (A)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

**II. Penalties / Punishment/ Compounding of offences:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
<b>OTHER OFFICERS IN DEFAULT</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

By Order of the Board of Directors  
For ANUPAM FINSERV LIMITED

Nirmala Gala  
Managing Director  
DIN: 00894497

Mumbai, 29<sup>th</sup> May, 2019

