

**“Annexure - A”
Form No. MGT-9 -EXTRACT OF ANNUAL RETURN**

As on the financial year ended on March 31, 2019
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

I	CIN:	L74140MH1991PLC061715
ii	Registration Date:	16/05/1991
iii	Name of the Company:	Anupam Finserv Limited
iv	Category / Sub-Category of the Company:	Company limited by shares / Indian Non Government Company
V	Address of the registered office and contact details:	502, Corporate Arena, D.P. Piramal Road, Goregaon (W), Mumbai – 400104. Tel No: - (022) 67830000. Email Id: info@anupamfinserv.com Website: www.anupamfinserv.com
Vi	Whether listed company:	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent:	Purva Share Registry (India) Pvt. Ltd, Unit No. 9, Shiv Shakti Ind Estate, J R Mr.BorichaMarg, Opp Kasturba Hospital Lane, Lower Parel (E), Mumbai – 400011. Tel No:- (022) 23012518

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Other financial service activities - Other credit granting	64920	100%

II. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: NA

S N	Name And Address Of The Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	-	-	-	-	-

III. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)
i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of Change during the year
	Demat	Physical	Total	% of Total Share	Demat	Physical	Total	% of Total Share	
A. Promoters									
(1) Indian									
(g) Individuals/ HUF	1,597,511	0	1,597,511	15.20	1,597,511	0	1,597,511	15.20	0.00
(h) Central Govt	0	0	0	0.00	0	0	0	0.00	0.00
(i) State Govt(s)	0	0	0	0.00	0	0	0	0.00	0.00
(j) Bodies Corp.	19,850	0	19,850	0.19	19,850	0	19,850	0.19	0.00
(k) Banks / FI	0	0	0	0.00	0.00	0	0	0.00	0.00
(l) Any Other (Persons Acting in Concert)	786,386	0	786,386	7.48	788,815	0	788,815	7.50	0.02
Sub Total (A)(1):-	2,403,747	0	2,403,747	22.87	2,406,176	0	2,406,176	22.89	0.02
(2) Foreign									
(a) NRI Individuals	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Other Individuals									
(c) Bodies Corp.	0	0	0	0.00	0.00	0	0	0.00	0.00
(d) Banks / FI	0	0	0	0.00	0.00	0	0	0.00	0.00
(e) Any Other....									
Sub Total (A)(2):-	0	0	0	0.00	0.00	0	0	0.00	0.00
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	2,403,747	0	2,403,747	22.87	2,406,176	0	2,406,176	22.89	0.02
B. Public Shareholding									
(1) Institutions									
(a) Mutual Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Banks FI	2200	0	2200	0.02	2200	0	2200	0.02	0.00
(c) Central Govt	0	0	0	0.00	0.00	0	0	0.00	0.00
(d) State Govet (s)	0	0	0	0.00	0.00	0	0	0.00	0.00

(e) Venture Capital Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(f) Insurance Companies	0	0	0	0.00	0.00	0	0	0.00	0.00
(g) FIs	0	0	0	0.00	0.00	0	0	0.00	0.00
(h) Foreign Venture Capital Funds	0	0	0	0.00	0.00	0	0	0.00	0.00
(i) Others (specify)									
* U.T.I.	0	0	0	0.00	0.00	0	0	0.00	0.00
* Financial Institutions	0	0	0	0.00	0.00	0	0	0.00	0.00
* Government Companies	0	0	0	0.00	0.00	0	0	0.00	0.00
* State Financial Corporation	0	0	0	0.00	0.00	0	0	0.00	0.00
* Qualified Foreign Investor	0	0	0	0.00	0.00	0	0	0.00	0.00
* Any Other	0	0	0	0.00	0.00	0	0	0.00	0.00
* Otc Dealers (Bodies Corporate)	0	0	0	0.00	0.00	0	0	0.00	0.00
* Private Sector Banks	0	0	0	0.00	0.00	0	0	0.00	0.00
Sub-total (B)(1):-	2200	0	2200	0.02	2200	0	2200	0.02	0.00
(2) Non-Institutions									
(a) Bodies Corp.									
(i) Indian	48,383	200	48,583	0.46	50,335	200	50,535	0.48	0.02
(ii) Overseas	0	0	0	0.00	0.00	0	0	0.00	0.00
(b) Individuals									
(ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	7,254,098	153,099	7,407,197	70.46	7,225,065	133,699	7,358,764	70.00	-0.46
(c) Others (specify)									
* N.R.I. (Non-Repat)	500	0	500	0.00	500	0	500	0.00	0.00
* N.R.I. (Repat)	0	0	0	0.00	0	0	0	0.00	0.00
* Foreign Corporate Bodies	0	0	0	0.00	0.00	0	0	0.00	0.00
* Trust	0	0	0	0.00	0.00	0	0	0.00	0.00
* Hindu Undivided Family	207,482	0	207,482	1.97	156,834	0	156,834	1.49	-0.48
* Employee	0	0	0	0.00	0.00	0	0	0.00	0.00
* Clearing Members	11,412	0	11,412	0.11	1,259	0	1,259	0.01	-0.10
* Depository Receipts	0	0	0	0.00	0.00	0	0	0.00	0.00

* Other Directors & Relatives	0	0	0	0.00	0.00	0	0	0.00	0.00
Sub-total (B)(2):-	7,890,444	216,109	8,106,553	77.11	7,890,115	214,009	8,104,124	77.09	-0.02
Total Public Shareholding (B) = (B)(1)+(B)(2)	7,892,644	216,109	8,108,753	77.13	7,892,315	214,009	8,106,324	77.11	-0.02
C. TOTSHR held by Custodian for GDRs & ADRs	0	0	0	0.00	0.00	0	0	0.00	0.00
Grand Total (A + B + C)	10,296,391	216,109	10,512,500	100.00	10,298,491	214,009	10,512,500	100.00	0.00

(ii) Shareholding of Promoters and Promoters Group

S N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	
1.	Pravin Gala	1,597,511	15.20	0.00	1,597,511	15.20	0.00	0.00
2.	Nirmala Gala	153,036	1.46	0.00	153,036	1.46	0.00	0.00
3.	Anupam Stock Broking Pvt Ltd	19,850	0.19	0.00	19,850	0.19	0.00	0.00
4.	Nanji Gala	30,000	0.29	0.00	30,000	0.29	0.00	0.00
5.	Siddharth Gala	525,040	4.99	0.00	525,040	4.99	0.00	0.00
6.	Meena Chheda	13,700	0.13	0.00	13,700	0.13	0.00	0.00
7.	Dhrumil Gala	64,610	0.61	0.00	67,039	0.64	0.00	0.02

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

S N		Shareholding at the beginning of the year		Cumulative Shareholding during the year		Type
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in shareholding during the year	
1	Pravin Gala	1,597,511	15.20			
	At the end of the year			1,597,511	15.20	

2	Nirmala Gala	153,036	1.46			
	At the end of the year			153,036	1.46	
3	Siddharth Gala	525,040	4.99			
	At the end of the year			525,040	4.99	
	Nanji Gala	30,000	0.29			
	At the end of the year			30,000	0.29	
5	Anupam Stock Broking Pvt Ltd	19,850	0.19			
	At the end of the year			19,850	0.19	
6	Meena Chheda	13,700	0.13			
	At the end of the year		0.00	13,700	0.13	
7	Dhruvil Gala	64,610	0.61			
	19-04-2019	240	0.00	64,850	0.62	Buy
	26-04-2019	1100	0.01	65,950	0.63	Buy
	03-05-2019	499	0.00	66,449	0.63	Buy
	07-06-2019	590	0.01	67,039	0.64	Buy
	At the end of the year			67,039	0.64	

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

S N		Share Holding at the beginning of the year		Cumulative Share Holding during the year	
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in share holding during the year
1	Kishor Dhirajlal Shah	750,000	7.13		
	At the end of the year			750,000	7.13
2	Rekha Kishor Shah	750,000	7.13		
	20-03-2020	-100,000	-0.95	650,000	
	27-03-2020	-50,000	-0.48	600,000	
	At the end of the year			600,000	5.71
3	Manilal Bhuralal Gala	600,000	5.71		
	At the end of the year			600,000	5.71
4	Pankaj Hirji Dedhia	500,000	4.76		
	At the end of the year			500,000	4.76

5	Vinod Manilal Gala	500,000	4.76		
	At the end of the year			500,000	4.76
6	Hitesh Malshi Rita	500,000	4.76		
	At the end of the year			500,000	4.76
7	Jayesh Malshi Rita	501,000	4.77		
	25-10-2019	-664	-0.01		
	01-11-2019	-336	-0.00		
	At the end of the year			500,000	4.76
8	Mansukh Valji Boricha	500,000	4.76		
	At the end of the year			500,000	4.76
9	Shantaben Valji Boricha	500,000	4.76		
	At the end of the year			500,000	4.76
10	Valji Gunshi Shah	500,000	4.76		
	At the end of the year			500,000	4.76

(v) Shareholding of Directors and Key Managerial Personnel

For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
Pravin Gala	1,597,511	15.20	15,97,511	15.20
Nirmala Gala	153,036	1.46	153,036	1.46
Sidhaarth Gala	525,040	4.99	525,040	4.99
Darshan Jajal	1000	0.00	1600	0.01

IV. INDEBTEDNESS
Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	5,886,393	73,200,000	0	79,086,393
i) Principal Amount	0	776,198	0	776,198
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due				
Total (I + li + iii)	5,886,393	73,976,198	0	79,862,591
Change in Indebtedness during the financial year				
i) Addition	0	0	0	0
ii) Reduction	(5,886,393)	(7,500,000)	0	(13,386,393)
Net Change	(5,886,393)	(7,500,000)	0	(13,386,393)
Indebtedness at the end of the financial year				
i) Principal Amount	0	65,700,000	0	65,700,000
ii) Interest due but not paid	0	2,604,228	0	2,604,228
iii) Interest accrued but not due	0	0	0	0
Total (I + ii + iii)	0	68,304,228	0	68,304,228

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.N	Particulars of Remuneration	Name of D/MD/WTD/ Manager	Total Amount
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission - as % of profit - Others, specify...	Nil	Nil
5	Others, please specify	Nil	Nil
	Total (A)	Nil	Nil
	Ceiling as per the Act	Nil	Nil

B. Remuneration to other directors:

S N	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount
1.	Independent Directors • Fee for attending board / committee meetings • Commission • Others, please specify	Darshan Jajal 14,000	Dhirubhai Desai 10,500	Rajendra Shah 10,500	35,000
	Total (1)	14,000	10,500	10,500	35,000
2.	Other Non-Executive Directors • Fee for attending board / committee meetings • Commission • Others, please specify	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	14,000	10,500	10,500	35,000
	Total Managerial Remuneration	14,000	10,500	10,500	35,000
	Overall Ceiling as per the Act	NA	NA	NA	NA

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD

S N	Particulars of Remuneration	Key Managerial Personnel		Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil	Nil
2	Stock Option	Nil	Nil	Nil
3	Sweat Equity	Nil	Nil	Nil
4	Commission as % of profit others, specify...	Nil	Nil	Nil
5	Others, please specify	Nil	Nil	Nil
	Total (A)	Nil	Nil	Nil

II. Penalties / Punishment/ Compounding of offences:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
OTHER OFFICERS IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

**By Order of the Board of Directors
For ANUPAM FINSERV LIMITED**

**SD/-
Nirmala Gala
Managing Director
DIN: 00894497**

Mumbai, 13th July, 2020