

“Annexure - A”

Form No. MGT-9 -EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2021
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i	CIN:	L74140MH1991PLC061715
ii	Registration Date:	16/05/1991
iii	Name of the Company:	Anupam Finserv Limited
iv	Category / Sub-Category of the Company:	Company limited by shares
v	Address of the registered office and contact details:	502, Corporate Arena, D.P. Piramal Road, Goregaon (W), Mumbai – 400104. Tel No: - (022) 67830000. Email Id: info@anupamfinserv.com Website: www.anupamfinserv.com
vi	Whether listed company:	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent:	Purva Share Registry (India) Pvt. Ltd. Unit No. 9, Shiv Shakti Ind Estate, J R Boricha Marg, Opp Kasturba Hospital Lane, Lower Parel (E), Mumbai – 400011. Tel No:- (022) 23012518

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Other financial service activities - Other credit granting	64920	100%

II. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: NA

S N	Name And Address Of The Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	-	-	-	-	-

III. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of Change during the year
	Demat	Physical	Total	% of Total Share	Demat	Physical	Total	% of Total Share	
A. Promoters									
(1) Indian									
(g) Individuals/ HUF	1,647,361	0	1,647,361	15.67	18,120,971	0	18,120,971	15.67	0.00
(h) Central Govt	0	0	0	0.00	0	0	0	0.00	0.00
(i) State Govt(s)	0	0	0	0.00	0	0	0	0.00	0.00
(j) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
(k) Banks / FI	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(l) Any Other (Persons Acting in Concert)	758,815	0	758,815	7.22	15,771,965	0	15,771,965	13.64	6.42
Sub Total (A)(1):-	2,406,176	0	2,406,176	22.89	33,892,936	0	33,892,936	29.31	6.42
(2) Foreign									
(a) NRI Individuals	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(b) Other Individuals									
(c) Bodies Corp.	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(d) Banks / FI	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(e) Any Other....									
Sub Total (A)(2):-	0.00	0	0	0.00	0.00	0	0	0.00	0.00
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	2,406,176	0	2,406,176	22.89	33,892,936	0	33,892,936	29.31	6.42
B. Public Shareholding									
(1) Institutions									
(a) Mutual Funds	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(b) Banks FI	2200	0	2200	0.02	24200	0	24200	0.02	0.00
(c) Central Govt	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(d) State Govet (s)	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(e) Venture Capital Funds	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(f) Insurance Companies	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(g) FIs	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(h) Foreign Venture Capital Funds	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(i) Others (specify)									
* U.T.I.	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* Financial Institutions	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* Government Companies	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* State Financial Corporation	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* Qualified Foreign Investor	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* Any Other	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* Otc Dealers (Bodies Corporate)	0.00	0	0	0.00	0.00	0	0	0.00	0.00
* Private Sector Banks	0.00	0	0	0.00	0.00	0	0	0.00	0.00
Sub-total (B)(1):-	2200	0	2200	0.02	24200	0	24200	0.02	0.00

(2) Non-Institutions									
(a) Bodies Corp.									
(i) Indian	54,473	200	54,673	0.52	159,115	2200	161,315	0.14	-0.38
(ii) Overseas	0.00	0	0	0.00	0.00	0	0	0.00	0.00
(b) Individuals									
(i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	1,136,705	80,110	1,216,815	11.57	59,160,982	758,120	59,919,102	51.82	40.25
(ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	6,338,666	0	6,338,666	60.30	17,777,474	0	17,777,474	15.37	-44.93
(c) Others (specify)									
* Unclaimed /Suspense	0	132,699	132,699	1.26	0	1,459,689	1,459,689	1.26	0
* N.R.I.	30,084	0	30,084	0.29	616,968	0	616,968	0.53	0.24
* Hindu Undivided Family	154,627	0	154,627	1.47	1,475,138	0	1,475,138	1.28	-0.19
* Clearing Members	176,560	0	176,560	1.68	309,028	0	309,028	0.27	-1.41
Sub-total (B)(2):-	7,891,115	213,009	8,104,124	77.09	79,500,355	2,220,009	81,720,364	70.67	-6.42
Total Public Shareholding (B) = (B)(1)+(B)(2)	7,893,315	213,009	8,106,324	77.11	79,524,555	2,220,009	81,744,564	70.69	-6.42
C. TOTSHR held by Custodian for GDRs & ADRs	0.00	0	0	0.00	0.00	0	0	0.00	0.00
Grand Total (A + B + C)	10,299,491	213,009	10,512,500	100.00	113,417,491	2,220,009	115,637,500	100.00	0.00

(ii) Shareholding of Promoters and Promoters Group

S N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			Change
		No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	No. of Shares	% of Total Shares of the company	% of Shares Pledged / Encumbered to total shares	
1	Pravin Gala	1,647,361	15.67	0.00	18,120,971	15.67	0.00	0.00
2	Nirmala Gala	228,036	2.17	0.00	2,508,396	2.17	0.00	0.00
4	Nanji Gala	0	0.00	0.00	6,600,000	5.71	0.00	5.71
5	Siddharth Gala	525,040	4.99	0.00	5,775,440	4.99	0.00	0.00
6	Meena Chheda	13,700	0.13	0.00	150,700	0.13	0.00	0.00
7	Dhrumil Gala	67,039	0.64	0.00	737,429	0.64	0.00	0.00

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

S N		Shareholding at the beginning of the year		Cumulative Shareholding during the year		Type
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in shareholding during the year	
1	Pravin Gala	1,647,361	15.67			
	08-10-2021	14,826,249		16,473,610	15.67	Split of Shares 10:1
	28-02-2022	1,647,361		18,120,971	15.67	Bonus 1:10
	At the end of the year			18,120,971	15.67	
2	Nirmala Gala	228,036	2.17			
	08-10-2021	2,052,324		2,280,360	2.17	Split of Shares 10:1
	28-02-2022	228,036		2,508,396	2.17	Bonus 1:10
	At the end of the year			2,508,396	2.17	
3	Siddharth Gala	525,040	4.99			
	08-10-2021	4,725,360		5,250,400	4.99	Split of Shares 10:1
	28-02-2022	525,040		5,775,440	4.99	Bonus 1:10
	At the end of the year			5,775,440	4.99	
4	Nanji Gala	Nil	Nil			
	17-09-2021	600,000		600,000	5.71	Gift from Brother
	08-10-2021	5,400,000		6,000,000	5.71	Split of Shares 10:1
	28-02-2022	600,000		6,600,000	5.71	Bonus 1:10
	At the end of the year			6,600,000	5.71	
5	Meena Chheda	13,700	0.13			
	08-10-2021	123,300		137,000	0.13	Split of Shares 10:1
	28-02-2022	13,700		150,700	0.13	Bonus 1:10
	At the end of the year			150,700	0.13	
6	Dhrumil Gala	67,039	0.64			
	08-10-2021	603,351		670,390	0.64	Split of Shares 10:1
	28-02-2022	67,039		737,429	0.64	Bonus 1:10
	At the end of the year			737,429	0.64	

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

S N	Name of the Shareholder	Share Holding at the beginning of the year		Cumulative Share Holding during the year		Type
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in shareholding during the year	
01	Manilal Gala	600,000	5.71			
	17-09-2021	-600,000		Nil	-5.71	Gift to Brother
	At the end of the year			Nil	Nil	
02	Dinesh K. Savla	521,692	4.96			
	08-10-2021	46,95,228		5,216,920	4.96	
	31-12-2021	-6920		5,210,000	4.56	Sold
	28-02-2022	521,000		5,731,000	4.96	Bonus 1:10
	At the end of the year			5,731,000	4.96	
03	Jayesh Malshi Rita	500,000	4.76			
	08-10-2021	4,500,000		5,000,000	4.76	Split of Shares 10:1

	31-12-2021	-5,000,000		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
04	Pankaj Hirji Dedhia	500,000	4.76			
	08-10-2021	4,500,000		5,000,000	4.76	Split of Shares 10:1
	31-12-2021	-2,200,000		2,800,000	2.66	Sold
	07-01-2022	-2,400,000		400,000	0.38	Sold
	14-01-2022	-400,000		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
05	Vinod Manilal Gala	500,000	4.76			
	08-10-2021	4,500,000		5,000,000	4.76	Split of Shares 10:1
	17-12-2021	-1,050,000		3,950,000	3.76	Sold
	24-12-2021	-3,100,000		850,000	0.81	Sold
	31-12-2021	-850,000		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
06	Mansukh Valji Gala	500,000	4.76			
	07-10-2021	-10,000		490,000	0.47	Sold
	08-10-2021	3,960,000		4,450,000	4.23	Split of Shares 10:1
	15-10-2021	-1,500,000		2,950,000	2.86	Sold
	17-12-2021	-2,950,000		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
07	Shantaben Valji Gala	500,000	4.76			
	08-10-2021	4,500,000		5,000,000	4.76	Split of Shares 10:1
	31-12-2021	-3,200,000		1,800,000	1.71	Sold
	07-01-2021	-1,800,000		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
08	Valji Gunshi Shah	500,000	4.76			
	08-10-2021	4,500,000		5,000,000	4.76	Split of Shares 10:1
	17-12-2021	-200,000		4,800,000	4.56	Sold
	24-12-2021	-3,717,142		1,082,858	1.03	Sold
	31-12-2021	-1,082,858		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
09	Premji Dhanji Shah	336,656	3.20			
	08-10-2021	3,029,904		3,366,560	3.20	Split of Shares 10:1
	24-12-2021	-1,482,858		1,883,702	1.79	Sold
	31-12-2021	-300,000		1,583,702	1.51	Sold
	07-01-2022	-100,000		1,483,702	1.41	Sold
	14-01-2022	-125,000		1,358,702	1.29	Sold
	21-01-2022	-145,446		1,213,256	1.54	Sold
	28-01-2022	-132,500		1,080,756	1.03	Sold
	31-01-2022	-100,000		980,756	0.93	Sold
	04-02-2022	-23,831		956,925	0.91	Sold
	11-02-2022	-399,524		557,401	0.53	Sold
	18-02-2022	-557,401		Nil	Nil	Sold
	At the end of the year			Nil	Nil	

10	Hitesh M Rita	309,355	2.94			
	08-10-2021	2,784,195		3,093,550	2.94	Split of Shares 10:1
	17-12-2021	-650,000		2,443,550	2.32	Sold
	24-12-2021	-1,955,000		488,550	0.47	Sold
	31-12-2021	-488,550		Nil	Nil	Sold
	At the end of the year			Nil	Nil	
11	Girish Premji Shah	100,000	0.95			
	23-04-2021	-965		99,035	0.94	Sold
	30-04-2021	965		100,000	0.95	Buy
	17-09-2021	25,000		125,000	1.19	Buy
	24-09-2021	25,000		150,000	1.43	Buy
	30-09-2021	-15,000		135,000	1.28	Sold
	08-10-2021	1,215,000		1,350,000	1.28	Split of Shares 10:1
	28-02-2022	135,000		1,485,000	1.28	Bonus 1:10
	31-03-2022	-10,000		1,475,000	1.28	Sold
	At the end of the year			1,475,000	1.28	
12	Jyoti Rajesh Gala	96,179	0.91			
	08-10-2021	865,611		961,790	0.91	Split of Shares 10:1
	28-02-2022	96,179		1,057,969	0.91	Bonus 1:10
	At the end of the year			1,057,969	0.91	
13	Manish Satish Shah	44,671	0.42			
	08-10-2021	402,039		446,710	0.42	Split of Shares 10:1
	28-02-2022	44,671		491,381	0.42	Bonus 1:10
	At the end of the year			491,381	0.42	
14	Vishanji Shamji Maru	34,723	0.33			
	07-10-2021	-23		24,700	0.33	Sold
	08-10-2021	312,300		347,000	0.33	Split of Shares 10:1
	28-02-2021	34,700		381,700	0.33	Bonus 1:10
	At the end of the year			381,700	0.33	
15	Sivalinga Achari	30,528	0.29			
	08-10-2021	274,752		305,280	0.29	Split of Shares 10:1
	22-10-2021	100		305,380	0.29	Buy
	03-12-2021	1300		306,680	0.29	Buy
	18-02-2022	100		306,780	0.29	Buy
	04-03-2022	30,678		337,458	0.29	Bonus 1:10
	At the end of the year			337,458	0.29	

(v) Shareholding of Directors and Key Managerial Personnel

S N	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year		Type
		No. of Shares	% of Total Shares of the company	No. of Shares	% change in shareholding during the year	
1	Pravin Gala	1,647,361	15.67			
	08-10-2021	14,826,249		16,473,610	15.67	Split of Shares 10:1

	28-02-2022	1,647,361		18,120,971	15.67	Bonus 1:10
	At the end of the year			18,120,971	15.67	
2	Nirmala Gala	228,036	2.17			
	08-10-2021	2,052,324		2,280,360	2.17	Split of Shares 10:1
	28-02-2022	228,036		2,508,396	2.17	Bonus 1:10
	At the end of the year			2,508,396	2.17	
3	Siddharth Gala	525,040	4.99			
	08-10-2021	4,725,360		5,250,400	4.99	Split of Shares 10:1
	28-02-2022	525,040		5,775,440	4.99	Bonus 1:10
	At the end of the year			5,775,440	4.99	
4	Darshan Jajal	1600	0.01			
	08-10-2021	14,400		16,000	0.01	Split of Shares 10:1
	28-02-2022	1600		17,600	0.01	Bonus 1:10
	28-02-2022	-10,000		7,600	0.00	Sold
	02-03-2022	-7600		Nil	0.00	Sold
	At the end of the year			Nil	Nil	

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i. Principal Amount	0	64,742,640	0	64,742,640
ii. Interest due but not paid	0	0	0	0
iii. Interest accrued but not due	0	2,645,617	0	2,645,617
Total (i+ii+iii)	0	67,388,257	0	67,388,257
Change in Indebtedness during the financial year				
i. Addition	0	3,400,000	0	3,400,000
ii. Reduction	0	(37,242,640)	0	(37,242,640)
Net Change	0	(33,842,640)	0	(33,842,640)
Indebtedness at the end of the financial year				
i. Principal Amount	0	30,900,000	0	30,900,000
ii. Interest due but not paid	0	0	0	0
iii. Interest accrued but not due	0	993,360	0	993,360
Total (I +ii+iii)	0	31,893,360	0	31,893,360

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.N	Particulars of Remuneration	Name of D/MD/WTD/ Manager	Total Amount
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission - as % of profit - Others, specify..	Nil	Nil
5	Others, please specify	Nil	Nil
	Total (A)	Nil	Nil
	Ceiling as per the Act	Nil	Nil

B. Remuneration to other directors:

S N	Particulars of Remuneration	Name of Independent Directors			Total Amount
1.	Independent Directors • Fee for attending board / committee meetings • Commission • Others, please specify	Darshan Jajal 24,000	Dhirubhai Desai 24,000	Rajendra Shah 24,000	72,000
	Total (1)	24,000	24,000	24,000	72,000
2.	Other Non-Executive Directors • Fee for attending board / committee meetings • Commission • Others, please specify	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	24,000	24,000	24,000	72,000
	Total Managerial Remuneration	24,000	24,000	24,000	72,000
	Overall Ceiling as per the Act	NA	NA	NA	NA

C. Remuneration to Key Managerial Personnel Other Than MD/Manager/WTD

S N	Particulars of Remuneration	Key Managerial Personnel-Sheetal Dedhia-Company Secretary	Total
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	325,000	325,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission as % of profit others, specify...	Nil	Nil
5	Others, please specify	Nil	Nil
	Total (A)	325,000	325,000

II. Penalties / Punishment/ Compounding of offences:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
OTHER OFFICERS IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

By Order of the Board of Directors

For ANUPAM FINSERV LIMITED

SD/-
Nirmala Gala
Managing Director
DIN: 00894497

SD/-
Pravin Gala
Whole Time Director
DIN: 00786492

Mumbai, 27th May, 2022